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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Cai *et al.*Appl. No.: (U.S. National Phase of
PCT/US03/15427; U.S.

Appl. No. (to be assigned))

Int'l Filing Date: May 16, 2003

For: **Substituted 4-Aryl-4H-pyrrolo[2,3-
h]chromenes and Analogs as
Activators of Caspases and
Inducers of Apoptosis and the Use
Thereof**

Confirmation No.: (to be assigned)

Art Unit: (to be assigned)

Examiner: (to be assigned)

Atty. Docket: 1735.0770001/RWE/CJW

Preliminary Amendment and Submission of Sequence ListingCommissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450***Mail Stop PCT***

Sir:

In advance of prosecution, Applicants submit the following amendments and remarks. This Preliminary Amendment is provided in the following format:

- (A) Each section begins on a separate sheet;
- (B) Starting on a separate sheet, amendments to the specification by presenting replacement paragraphs marked up to show changes made;
- (C) Starting on a separate sheet, the Remarks.

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net

addition of claims) are hereby authorized to be charged to our Deposit Account

No. 19-0036.